

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

ONOE, A. et al.

Serial No. 10/615,600

Filed: July 9, 2003

For: RECORDING/REPRODUCING HEAD AND METHOD OF PRODUCING THE
SAME

Attention: Application Branch

Atty. Dkt. 4105-18

Date: November 7, 2003

**Mail Stop Missing Parts**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

The attached completes filing of the above-identified patent application:☒ **Correspondence Address Indication Form Attached.**☐ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR☒ Signed Declaration plus attached copy of originally filed specification/drawings.☐ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.☒ Record the attached assignment to Pioneer Corporation, Tokyo, Japan and Yasuo CHO, Miyagi, Japan and return to the undersigned.☐ Attached is a Power of Attorney.☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:**Application Number**

2002-200043

Country

JP

Day/Month/Year Filed

09 July 2002

respectively.

☐ Certified copy(ies) of foreign application(s) is/are attached.☒ Certified copy(ies) filed on July 9, 2003 in prior application no. 10/615,600, filed July 9, 2003.☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.☐ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.☐ Also attached: ☐ **Information Disclosure Statement**; ☐ **Nucleotide and/or Amino Acid Sequence Submission**; ☐ **Other**:**Fees due are calculated below:**

Basic filing fee		\$	770.00
Total Effective claims	15 - 20 = 0	x \$	18.00
Independent claims	6 - 3 = 3	x \$	86.00
If any proper multiple dependent claims now added for first time, add \$290.00 (ignore improper)			
		\$	0.00
		FILING FEE	\$ 1,028.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months; \$1480.00/4 months)			
		\$	
Surcharge (\$130.00) if Declaration or filing fee first now submitted		\$	130.00
English translation of specification and claims (\$130.00)		\$	0.00
		FIRST SUBTOTAL	\$ 1,158.00
If "small entity," enter half (1/2) of subtotal and subtract		-\$	0.00
		SECOND SUBTOTAL	\$ 1,158.00
		\$	40.00
Assignment Recording Fee (\$40.00)		\$	40.00
		TOTAL FEE DUE	\$ 1,198.00
		Check enclosed (Pre-Bill)*	\$ 1172.00
		Check enclosed (non Pre-Bill)*	\$ 26.00
		TOTAL FEE ENCLOSED	\$ 1198.00

11/10/2003 ZJUHH81 00000015 10615600
 01 FC:1001 770.00
 02 FC:1201 258.00
 03 FC:1051 130.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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NIXON & VANDERHYE P.C.
 By Atty: Arthur R. Crawford, Reg. No. 25,327

Signature: _____

Japanese Language Declaration (日本語宣言書)

委任状： 私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁理士及び／または弁理士を任命する。(氏名及び登録番号を記載すること)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

書類送付先

Send Correspondence to:

直通電話連絡先：(氏名及び電話番号)

Direct Telephone Calls to: (name and telephone number)

唯一または第一発明者氏名		Full name of sole or first inventor	
発明者の署名		Atsushi ONOE	
日付	Inventor's signature	Date	
	<i>Atsushi Onoe</i>	July 10, 2003	
住所		Residence	
		Saitama, Japan	
国籍		Citizenship	
		Japanese	
郵便の宛先		Post Office Address	
		c/o Pioneer Corporation, Sougou Kenkyusho. 1-1, Fujimi 6-chome, Tsurugashima-shi, Saitama, Japan	
第二共同発明者がある場合、その氏名		Full name of second joint inventor, if any	
第二共同発明者の署名		Yasuo CHO	
日付	Second inventor's signature	Date	
	<i>Yasuo Cho</i>	July 10, 2003	
住所		Residence	
		Miyagi, Japan	
国籍		Citizenship	
		Japanese	
郵便の宛先		Post Office Address	
		4-5-304, Komegafukuro 2-chome, Aoba-ku, Sendai-shi, Miyagi, Japan	

(第三以下の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

Declaration and Power of Attorney for Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私は、最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

RECORDING / REPRODUCING HEAD AND

METHOD OF PRODUCING THE SAME

上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りでない：

the specification of which is attached hereto unless the following box is checked:

☐ _____ の日に出願され、
この出願の米国出願番号またはPCT国際出願番号は、
_____ であり、且つ
_____ の日に修正された出願（該当する場合）☐ was filed on _____
as United States Application Number or
PCT International Application Number
_____ and was amended on
_____ (if applicable).

私は、上記の修正書によって修正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編規則1.56に定められている、特許性について重要な情報を開示する義務があることを認める。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the need of the individual case. Any comments on the amount of time you are required to complete this form should be sent to Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

57P004305/02033705

Japanese Language Declaration (日本語宣言書)

私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或いは米国以外の少なくとも一國を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(a)-(d)項又は第365条(b)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日より前の出願日を有する外国での特許出願または発明者証の出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

Prior Foreign Application(s)

外国での先行出願

Priority Not Claimed

優先権主張なし

2002-200043

(Number)
(番号)

Japan

(Country)
(国名)

09/07/2002

(Day/Month/Year Filed)
(出願日/月/年)

☐

(Number)
(番号)

(Country)
(国名)

(Day/Month/Year Filed)
(出願日/月/年)

☐

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編第119条(e)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Application No.)
(出願番号)

(Filing Date)
(出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第35編第112条第1段に規定された態様で、先行する米国出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入手された情報で、違邦規則法典第37編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可、係属中、放棄)

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可、係属中、放棄)

私は、ここに表明された私自身の知識に係わる陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第18編第1001条に基づき、罰金または拘禁、若しくはその両方により処罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.